

Docket No.: 0283-0202PUS1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Yasuhito YAMAMOTO et al.

International Application No.:

Application No.: Not Yet Assigned

Art Unit: N/A

Filed: October 18, 2004

Examiner: Not Yet Assigned

For: 3-SUBSTITUTED OXYGLUTARIC ACID  
DIESTER COMPOUND, OPTICALLY  
ACTIVE 3-SUBSTITUTED OXYGLUTARIC  
ACID MONOESTER COMPOUND AND  
PROCESSES FOR PREPARING THE SAME

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**FIRST PRELIMINARY AMENDMENT**

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INTRODUCTORY COMMENTS**

The following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

This amendment includes:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 11 of this paper.

**Remarks/Arguments** begin on page 17 of this paper.